

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chen, Gang Paul; Eyal, Avishay; Kewitsch, Anthony S.; Leyva, Victor; Marshall, William K.; Rakuljic, George A.; Tong, Xiaolin; Yeh, Xian Li; and Zambos, Don

Application No.: 09/898,469 Group No.:
Filed: 07/05/2001 Examiner:
For: Interleaver Filters Employing Non-birefringent Elements

**Assistant Commissioner for Patents
Washington, D. C. 20231**

**TRANSMITTAL OF FORMAL DRAWING(S)
PRIOR TO NOTICE OF ALLOWANCE**

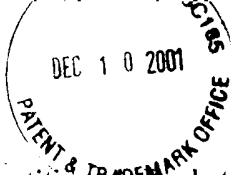
Attached please find 17 sheets of formal drawings for this application.

Date: _____

Signature of Practitioner

Reg. No.: 20201
Tel. No.: 703-415-1500
Customer No.: 23294

George M. Cooper
Jones, Tullar & Cooper, P.C.
P.O. Box 2266 Eads Station
Arlington, VA 22202
US



Sec 101

Practitioner's Docket No. ChenInterleave

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chen, Gang Paul; Eyal, Avishay; Kewitsch, Anthony S.; Leyva, Victor; Marshall, William K.; Rakuljic, George A.; Tong, Xiaolin; Yeh, Xian Li; and Zambos, Don
Application No.: 09/898,469 Group No.:
Filed: July 5, 2001 Examiner:
For: Interleaver Filters Employing Non-birefringent Elements

Box Missing Part
Assistant Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 24, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

SMALL ENTITY STATUS

III. This filing is by a small entity.

COMPLETION FEES

IV.

1. Filing Fee

Original patent application
(37 C.F.R. Section 1.16(a))

\$370.00

2. Fees for Claims

Each independent claim in excess of 3
(37 C.F.R. Section 1.16(b)) \$252.00

Each claim in excess of 20
(37 C.F.R. Section 1.16(c)) \$837.00

3. Surcharge Fees

Late payment of filing fee
and/or late filing of original
declaration or oath
(37 C.F.R. Section 1.16(e)) \$65.00

Total Completion Fees \$1,524.00

EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(2), for two months.

Fee \$200.00

If an additional extension of time is required, please consider this a petition therefor.

TOTAL FEE DUE

VI. The total fee due is:

Completion fees \$1,524.00
Extension fee (if any) \$200.00

Total Fee Due \$1,724.00

PAYMENT OF FEES

VII. Enclosed is a check in the amount of \$1,724.00.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VIII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 10-1213.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

ADDITIONAL PAPERS

IX Enclosed are the following additional papers:

(a) Preliminary amendment; and

(b) Letter to draftsman with 17 sheets of formal drawings.

Date: December 1, 2001

George M. Cooper
Signature of Practitioner

Reg. No.: 20201

Tel. No.: 703-415-1500

Customer No.: 23294

George M. Cooper

Jones, Tullar & Cooper, P.C.

P.O. Box 2266 Eads Station

Arlington, VA 22202

US

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,469	07/05/2001	Gang Paul Chen	Chen Interleave

CONFIRMATION NO. 8152

FORMALITIES LETTER



OC000000006470041

Date Mailed: 08/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2154.
 - **\$1674** for **93** total claims over 20.
 - **\$480** for **6** independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2994.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

CA

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE